PTO/SB/21 (09-04) (AW 10/2004)
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	Application Number	10/813,221	<u> </u>
TRANSMITTAL FORM  to be used for all correspondence after initial filing)  Total Number of Pages in This Submission 4	Filing Date	March 30, 2004	
	First Named Inventor	Ting TAO et al.	
	Art Unit	1752	
	Examiner Name	Unknown	
	Attorney Docket No.	KPG-5100US	_

E	NCLOSURES (Check all that apply)		
Fee Transmittal Form Fee Attached	Drawing(s)  Licensing-related Papers	After Allowance Communication to TC	
Amendment/Reply After Final Affidavits/Declaration(s)	Petition  Petition to Convert to a Provisional Application	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply	
Extension of Time Request	Power of Attorney, Revocation, Change of Correspondence Address	Brief)  Proprietary Information	
Express Abandonment Request	Terminal Disclaimer	Status Letter	
Information Disclosure Statement	Request for Refund	Other Enclosure(s) (please identify below): receipt card	
Certified Copy of Priority Document(s)	CD, Number of CD(s) Landscape Table on CD		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:		
SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	ENT	
Firm Name RatnerPrestia  Signature Printed Name Bruce M. Monroe			
Date	Registration No.	33,602	
CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature Adjust a Albert			
Typed or Printed Name Janet E. Abbott	·	Date (6 26, 2004)	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/813,221

Applicant: Filed:

Ting TAO et al. March 30, 2004

Title:

INFRARED ABSORBING COMPOUNDS AND THEIR USE IN IMAGEABLE

**ELEMENTS** 

TC/A.U.:

1752

Examiner:

Unknown

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the references listed on the 1449 form, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Examiner's attention is called to the following co-pending applications:

- 1) Application Serial No. 10/615,358, Sulfated Phenolic Resins and Printing Plate Precursors Comprising Sulfated Phenolic Resins, filed July 8, 2003.
- 2) Application Serial No. 10/736,078, Imageable Element Comprising Sulfated Polymers, filed December 15, 2003.

KPG-5100US PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Bruce M. Monroe, Reg. No. 33,602

Attorney for Applicants

BMM/jea

Enclosures: PTO Form 1449

1 Reference Transmittal Form

Dated: October 26, 2004

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Valley Forge, PA 19482
(610) 407-0700

✓ P.O. Box 1596Wilmington, DE 19899(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Janet E. Abbott

(AW 08/03)



## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known				
	Application Number	10/813,221			
Filing Date Mar		March 30, 2004			
	First Named Inventor	Ting Tao			
	Art Unit	1752			
	Examiner Name	Unknown			
	Attorney Docket No.	KPG-5100US			

•			U.S. PATEN	T DOCUMENTS			
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY		of Patentee or of Cited Document	Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear	
		US-4,565,769	01/21/1986	Dueber et a	1.		
		US-5,372,907	12/13/1994	Haley et al.			
		US-5,919,601	07/6/1999	Nguyen et a	1.		
		US-5,965,319	10/12/1999	Kobayashi			
		US-6,090,532	06/18/2000	West et al.			
		US-6,124,425	09/26/2000	Nguyen			
		US-6,177,182	06/23/2001	Nguyen			
		US-6,280,899	08/28/2001	Parsons			
		US-6,413,694	07/02/2002	Zheng et al.			
		US-6,423,469	06/23/2002	DoMinh et a	1.		
		US-6,465,152	10/15/2002	DoMinh et a	l.		
		US-6,506,533	01/14/2003	Kottmair et	al.		
		US-6,558,872	05/06/2003	Ray et al.			
		US-6,582,882	06/24/2003	Pappas et a	1.		
		US-6,596,469	07/22/2003	McCullough	et al.		
		US-6,777,164	08/17/2004	Horne et al.			
		US-2003/0064318	04/03/2003	Huang et al.			
		US-6,451,500	09/17/2002	Leon			
		US-6,387,595	05/14/2002	Teng			
		US-6,511,782	01/28/2003	Vermeersch	et al.		
		US-6,397,749	06/04/2002	Kita et al.			
		US-6,461,804	10/08/2002	Deroover			
		US-2001/0008740	07/19/2001	Kita et al.			
	_	F	OREIGN PAT	ENT DOCUMENT	rs		
Examiner	Cite	Foreign Patent Docum	nent Publication Dat		Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind	Code <sup>5 (If known)</sup>	(MM-DD-YYYY)	Document	Figures Appear	T <sup>6</sup>
		WO 00/17711					

Examiner	Date	
Signature	Consid	lered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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